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NOTICE OF ALLOWANCE AND FEE(S) DUE

23364 7590 02/23/2011 BACON & THOMAS, PLLC 625 SLATERS LANE FOURTH FLOOR ALEXANDRIA, VA 22314-1176 EXAMINER

NEILS, PEGGY A

ART UNIT PAPER NUMBER

2885

DATE MAILED: 02/23/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/591,396	09/01/2006	Hideki Etori	ETOR3004/GAL	9584

TITLE OF INVENTION: LIGHT CONTROL FILM AND BACKLIGHT UNIT USING THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	05/23/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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If the SMALL ENTITY is shown as NO:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) have its own certificate of mailing or transmission. 02/23/2011 Certificate of Mailing or Transmission BACON & THOMAS, PLLC I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. **625 SLATERS LANE** FOURTH FLOOR **ALEXANDRIA, VA 22314-1176** (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/591.396 09/01/2006 Hideki Etori ETOR3004/GAL 9584 TITLE OF INVENTION: LIGHT CONTROL FILM AND BACKLIGHT UNIT USING THE SAME DATE DUE ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE APPLN, TYPE SMALL ENTITY NO \$1510 \$300 \$0 \$1810 05/23/2011 nonprovisional **EXAMINER** CLASS-SUBCLASS ART UNIT NEILS, PEGGY A 362-606000 2885 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. ☐ Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and

an apparation. Community is governed by 53 0.3.C. 122 and 57 CFR 1.14. Inis collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/591,396	591,396 09/01/2006 Hideki Etori		ETOR3004/GAL	9584
23364 75	90 02/23/2011	EXAMINER		
BACON & THOMAS, PLLC			NEILS, PEGGY A	
625 SLATERS LA			A DOT LINET	D. DED MIN COED
FOURTH FLOOR ALEXANDRIA, VA 22314-1176			ART UNIT	PAPER NUMBER
			2885	

DATE MAILED: 02/23/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1043 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1043 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)
	10/591,396	ETORI, HIDEKI
Notice of Allowability	Examiner	Art Unit
	PEGGY A. NEILS	2885
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS
1. X This communication is responsive to paper filed February	<u>17, 2011</u> .	
2. ⊠ The allowed claim(s) is/are <u>1-16</u> .		
 Acknowledgment is made of a claim for foreign priority una)	been received. been received in Application No cuments have been received in this i	national stage application from the
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
 A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give 		
5. CORRECTED DRAWINGS (as "replacement sheets") mus	et be submitted.	
(a) \square including changes required by the Notice of Draftspers	on's Patent Drawing Review (PTO-	948) attached
1) hereto or 2) to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	office action of
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in t		
6. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT		
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 2/17/2011 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. Notice of Informal P 6. Interview Summary Paper No./Mail Dat 7. Examiner's Amendn 8. Examiner's Stateme 9. Other	(PTO-413),

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Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on February 17, 2011 has been entered.

Allowable Subject Matter

Claims 1-16 are allowed.

The following is an examiner's statement of reasons for allowance: Claims 1 and 13-16 are allowable over the prior art because claim 1 sets forth a light control film having a rough surface, wherein for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined in an arbitrary position on the rough surface, by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, an average of slopes of the curved surface of the rough surface with respect to a base plane of the film is not less than 27 degrees and not more than 70 degrees at substantially any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

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Claim 2 is allowable over the prior art because claim 2 sets forth a light control film having a rough surface formed by a patterned layer comprising a material having a refractive index n, wherein for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined in an arbitrary position on the rough surface, by using height data of the rough surface measured in predetermined intervals in the longitudinal and transverse directions in the approximately square region, an average of slopes of the curved surface of the rough surface with respect to a base plane of the film is not less than 59-20n degrees and not more than 70 degrees at any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

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Claim 3 is allowable over the prior art because claim 3 sets forth a light control film having a rough surface, wherein, for the a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined at an arbitrary position on the rough surface, by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, an average of slopes of the curved surface of the rough surface with respect to a base plane of the film and a ratio of Ar = A2/A1 of an area A1 of the approximately square region and a surface area A2 of the approximated curved surface of the rough surface satisfying an equation at substantially any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

Claim 4 is allowable over the prior art because claim 4 sets forth light control film having a rough surface formed by a patterned layer comprising a material having a refractive index n, wherein, for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined at an arbitrary position on the rough surface by using height data of the rough surface measured in predetermined intervals in the longitudinal and transverse directions in the approximately square region and an average of slopes of the curved surface of the rough surface with respect to the base plane of the film and a ratio A2/A1 of an area A1 of the approximately square region and a surface A2 of the approximated curved surface of the rough surface satisfy one of the equations set forth in the claim for any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

Claim 5 is allowable over the prior art because claim 5 sets forth a light control film having a rough surface, wherein for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined in an arbitrary position on the rough surface, by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, an average of slopes of the curved surface of the rough surface with respect to a base plane of the film is not less than 27 degrees and not more than 70 degrees, and an absolute value of the numerical value Ask calculated in accordance with an equation set forth in the claim by using all the height data of the rough surface is not more than 1.2 is satisfied at substantially any position on the light

control film. This combination of limitations was not shown or suggested by the prior art.

Claim 6 is allowable over the prior art because claim 6 sets forth a light control film having a rough surface formed by a patterned layer comprising a material having a refractive index n, wherein a condition that, for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined at an arbitrary position on the rough surface by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, an average of slopes of the curved surface of the rough surface with respect to a base plane of the film is not less than 59-20n degrees and not more than 70 degrees, and an absolute value of a numerical value Ask calculated in accordance with the equation set forth in the claim by using all the height data of the rough surface is not more than 1.2 is satisfied at substantially any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

Claim 7 is allowable over the prior art because claim 7 sets forth a light control film having a rough surface, wherein a condition that, for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined at an arbitrary position on the rough surface, by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, an average of slopes of the curved surface (0nv (degree)) of the rough surface with respect to a base plane of the film is not less than 27 degrees

and not more than 70 degrees, and a numerical value (Aku) calculated in accordance with the equation set forth in the claim by using all the height data of the rough surface is not less than 1.5 and not more than 5.0 is satisfied at substantially any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

Claim 8 is allowable over the prior art because claim 8 sets forth a light control film having a rough surface formed by a pattern layer comprising a material having a refractive index n, wherein a condition that, for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined at an arbitrary position on the rough surface by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, an average of slopes of the curved surface of the rough surface with respect to a base plane of the film is not less than 59-20n degrees and not more than 70 degrees, and a numerical value Aku calculated in accordance with the equation set forth in the claim by using all the height data of the rough surface is not less than 1.5 and not more than 5.0 is satisfied at substantially any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

Claim 9 is allowable over the prior art because claim 9 sets forth a light control film having a rough surface, wherein a condition that, for the a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined at an arbitrary position on the rough surface, by using height data of the rough

surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, a ratio of Ar = A2/A1 of an area A1 of the approximately square region and a surface area A2 of the approximated curved surface of the rough surface is not less than 1.2 and not more than 2.5 and absolute value of a numerical value Ask calculated in accordance with the equation set forth in the claim by using all the height data of the rough surface is not more than 1.2 is satisfied at substantially any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

Claim 10 is allowable over the prior art because claim 10 sets forth a light control film having a rough surface formed by a patterned layer comprising a material having a refractive index n, wherein a condition that, for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined at an arbitrary position on the rough surface by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, a ratio A2/A1 of an area A1 of the approximately square region and a surface A2 of the approximated curved surface of the rough surface is not less than 2-0.5n and not more than 2.5, and absolute value of a numerical value Ask calculated in accordance with the equation set forth in the claim by using all the height data of the rough surface is not more than 1.2 is satisfied at substantially any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

Claim 11 is allowable over the prior art because claim 11 sets forth a light control film having a rough surface, wherein a condition that, for the a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger defined at an arbitrary position on the rough surface, by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, a ratio of Ar = A2/A1 of an area A1 of the approximately square region and a surface area A2 of the approximated curved surface of the rough surface is not less than 1.2 and not more than 2.5, and a numerical value Aku calculated in accordance with the equation set forth in the claim by using all the surface height data is not less than 1.5 and not more than 5.0 is satisfied at substantially any position on the light control film. This combination of limitations was not shown or suggested by the prior art.

Claim 12 is allowable over the prior art because claim 12 sets forth a light control film having a rough surface formed by a patterned layer comprising a material having refractive index n, wherein, for a curved surface of the rough surface in an approximately square region having an area of 1 mm² or larger, defined at an arbitrary position on the rough surface, by using height data of the rough surface measured at predetermined intervals in the longitudinal and transverse directions in the approximately square region, a ratio of an area of the approximately square region (A1) and a surface area of the approximated curved surface of the rough surface is not less that 2-0.5 and not more than 2.5, and a numerical value Aku calculated in accordance with the equation set forth in the claim by using all the surface height data is not less

than 1.5 and not more than 5.0 at substantially any position on the light control film.

This combination of limitations was not shown or suggested by the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Peggy Neils whose telephone number is (571) 272-2377. The examiner can normally be reached Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jong-Suk (James) Lee can be reached on (571) 272-7044. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

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Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

PAN

/Stephen F. Husar/ Primary Examiner, Art Unit 2875